## Interaction Trees

A denotational semantics and its equational theorems

## Formal Semantics

## Operational semantics

e.g. big step/small step

- Semantics: execution (transition system + trace)
- S1 - event-> S2
- Intuitive \& Expressive
- Inductive reasoning

1. opsem: e.g. ssos/bsos, semantics is its execution (often modelled by TRS), expressive (nearly any feature can be modeled by transition systems \& traces), reason by inductive principles supported by most provers,

## OpSem: not compositional

| Whole | Not Trivial! |
| :---: | :---: | | Part |
| :---: |
| $\mathrm{P} 1 ; \mathrm{P} 2$ |$\quad \mathrm{P} 2 \Rightarrow \mathrm{P} 3$

## OpSem: syntax clutter

| $\mathrm{E} \vdash$ | trace | $\mathrm{E} \vdash$ |
| :--- | :---: | :---: |
| $\mathrm{F} \vdash \mathrm{S} 1, \mathrm{~K} 1$ | $\rightarrow$ | $\mathrm{~F} \vdash \mathrm{~S} 2, \mathrm{~K} 2$ |
| $\mathrm{LE} \vdash$ |  | $\mathrm{LE} \vdash$ |
| $\mathrm{M} \vdash$ |  | $\mathrm{M} \vdash$ |

## Axiom Semantics

## e.g. Hoare logic

- Program: logic formulas that describe it
- Semantics: what can be proven about it
- Higher abstraction
- (Mostly) compositional
- Can be automated (SMT solvers)
- Details are lost


## Denotational semantics

- Semantics: what a program denotes trivially
- e.g. Lang :=_+_ ${ }_{-}{ }_{-} \mid \mathbb{N} \Rightarrow \mathbb{N}$
- Math: domain theory ; PL: host language
- Reuse host language features -> no more syntax clutters!
- Can be executed/extracted
- Practical languages -?-> Proof assistant languages

Effects, non-terminating Pure, total
meaning of a program is what it denotes trivially. e.g. Lang denotes to nat.
In CS: denote to host language.
shallow representation: abstract away syntax clutters and reuse host language features
can be executed/extracted.
Problem: practical languages with effects and non-termination -?-> pure \& terminating proof languages? Introduce to ITrees!

## Interaction Tree <br> A shallow representation of (delimited) computations

```
putat ty effect value ty
CoInductive itree (E: Type -> Type) (R: Type): Type :=
    Ret (r: R) (* computation terminating with value r r*)
    Tau&(t: itree E R) (* "silent" tau transition with child t*) Crucial to non-terminating structure
    Vis {A: Type} (e : E A) (k : A -> itree E R). (* visible event e yielding an answer in A *)
```



1. show the definition, explain what $E$ and $R$ represents
2. explain what does each variant do
3. Ret: bare value
4. Tau: do nothing, silent, crucial to non-terminating structure
5. Vis: visible effects, kont (coq function, shallow)
6. delimited shallow computation split by Tau and Vis, can represent non-terminating computation \& effect

## Interaction Tree

A shallow representation of (delimited) computations

```
Events may carry data
And they expect an answer
Inductive storeE :Type \(\rightarrow\) Type \(:=\)
| Read (v : variable) : storeE nat
| Write (v : variable) (n : nat) : storeE unit
Example: Vis (Read \(X\) ) \((\lambda(n)\) nat \()=>\)
Vis (Write \(Y(n+1))\left(\lambda()_{-}\right.\)unit) \(=>\) Ret 0))
```

A taste of effects, will come back to it later
Let's first talk about coinductive types

## What is coinduction?

- Inductive type: What's inside the box?
- Coinductive type: What can we do about this box?


1. induction type: construct by saying what's inside it, i.e. defined by introduction rule.
2. coinductive type: construct by what can be done about it, i.e. defined by elimination rule.
3. coinductive type is like a black box with a button on it. defined by saying what will pop out after you push the button.


Example: list <-> colist. list: 1; $2<->$ colist: 1; 2 . list: there's 1,2 inside the box. colist: when press the button, it emits 1 , another box, then press the button on the new box, it outputs 2 and nothing.

flipflop: (show code), press once it outputs 1 and another box, press the button on the new box it outputs 0 , and the first box. output seq: $1 ; 0 ; 1 ; 0 ; \ldots$ Well typed, pure, total, but infinite, because it doesn't generate value unless you press the button.

5. Tau: by expanding all taus, you got infinite computation trace. but if you don't press it, it does nothing, i.e. terminating. Coq won't complain about this!

## Examples of Trees

CoFixpoint echo : itree IO void := Vis Input (fun $x \Rightarrow$ Vis (Output $x$ ) (fun


CoFixpoint kill9 : itree IO unit :=
Vis Input (fun $x \Rightarrow$ if $x=$ ? 9 then Ret tt else kill9).


## Equivalent relations

- Strong bisimulation: $\mathrm{t} 1 \cong \mathrm{t} 2$ => exactly the same shape

$\square$




$$
\approx_{R}
$$

itree $\emptyset \Sigma_{\text {Imp }} \upharpoonleft$ itree $\emptyset \Sigma_{\text {Asm }}$

$$
R: \Sigma_{\text {Imp }} \times \Sigma_{\text {Asm }} \rightarrow \operatorname{Prop}
$$

1. strong bisim: $\mathrm{t} 1 \sim==\mathrm{t} 2$ when t 1 and t 2 have exactly the same shape
2. weak bisim: observe: tau $t$ evaluates to the same value as $t$, so we want Equivalence Up To Tau. (give def on slides) define weak bisim t1 $\sim \sim t 2$ with tau $t=t$, ONLY when removing finite number of taus (EqTauL \& EqTauR are inductively defined, so they can only apply finite times). When it comes to inf taus, both ends should have inf taus. => weak bisim is termination sensitive.
3. heterogeneous bisim: compiler compiles a language of return type $A$ to a language of return type $B$. How to reason about them? Given a relation to match $A$ and $B$, define eutt $r$ (equivalence up to tau modulo $r$ ), in which Ret $a \sim \sim R$ Ret $b$ iff a $R b$. Theorem: If $R$ is equiv rel, then $\sim \sim R$ is equiv rel. eutt is a special case of eutt mod $r$ with $R$ := leibniz equality.

## ITrees are compositional

(* Apply the continuation $k$ to the Ret nodes of the itree $t *$ ) Definition bind \{E R S\} (t : itree E R) (k : R $\rightarrow$ itree E S) : itree E S := (cofix bind_u := match u with
Ret $r \Rightarrow k r$
Tau $t \Rightarrow$ Tau (bind $t)$
| Vis e $k \Rightarrow \operatorname{Vis}$ e ( $\bar{f} u n x \Rightarrow$ bind_ $(k x))$ end) $t$. Notation "x t1 ; ; t2" := (bind t1 (fun $x \Rightarrow$ t2)).
(* Composition of KTrees *) Definition cat $\{E\}$ \{A B C : Type $\}$ fun $h k \Rightarrow$ (fun $a \Rightarrow$ bind (ha) k). Infix ">>>" := cat


## ITrees are compositional

$$
\begin{aligned}
& \text { Monad Laws }
\end{aligned}
$$

$$
\begin{aligned}
& (s>\Rightarrow t) \Rightarrow u \cong s>\Rightarrow t>\Rightarrow u
\end{aligned}
$$

$$
\begin{aligned}
& \mathrm{t} 1 \cong \mathrm{t} 2 \rightarrow \quad \text { Tau } \mathrm{t} 1 \cong \text { Tau } \mathrm{t} 2 \\
& \text { Congruences } \\
& t 1 \approx t 2 \wedge k 1 \approx k 2 \rightarrow \text { bind } t 1 k 1 \approx \text { bind } t 2 k 2
\end{aligned}
$$

## ITrees are compositional

```
id_ : A }->\mathrm{ itree E A
cat : (B }->\mathrm{ itree E C) }
cat : (B 隹 itree E C) }
case_ : (A }->\mathrm{ tree E C) }
    (B->itree E C) }->(A+B->\mathrm{ itree E C)
inl_ : A ->itree E (A + B)
inr : B }->\mathrm{ itree E (A + B)
inr_ : B ->itree E (A + B)
```


( i >>> j ) >>> $\overline{\mathrm{k}} \approx \mathrm{\approx} \mathrm{i} \ggg(\mathrm{j} \ggg \mathrm{k}$ )
(i $\ggg \mathrm{j}) \ggg \mathrm{k}$
pure $\mathrm{f} \ggg$ pure $\mathrm{g} \underset{\approx}{\approx}$ pure ( $\mathrm{f} \circ \mathrm{g}$ )




## Recap: State

(m, v)
$x<-1$
set $x ;$
$(, 1)$
$x<-$ get;
$(1,1)$
$x+1$
$(1,2)$

## State effect handler

(* The type of
state events *)
Variant stateE (S : Type)
: Type $\rightarrow$ Type :=
| Get : stateE S S
| Put : $S \rightarrow$ stateE $S$ unit.
(* Handler for state events *)
Definition h_state (S:Type) \{E\}
: (stateE S) $\leadsto$ stateT S (itree E) :=
fun _ e $\Rightarrow$ match e with
I Get $\Rightarrow$ gett $s \quad$ trec $E A$
| Put s $\Rightarrow$ putT S s end.
(* State monad transformer *)
Definition stateT (S:Type) (M:Type $\rightarrow$ Type) (R:Type) : Type := $S \rightarrow M(S * R)$.
Definition getT (S:Type) : stateT S M S := fun $s \Rightarrow$ ret (s, s). Definition putT (S:Type) : S $\rightarrow$ stateT $S$ M unit := fun $s^{\prime} s \Rightarrow$ ret ( $s^{\prime}, t t$ ).
(* Interpreter for state events *)
Definition interp_state \{E S\}
: itree (stateE S) $\rightarrow$ stateT S (itree E) :=
interp h_state.

fect handler: convert a itree with effects into one with no effect and modified value (state, value) (slide: show tree example)

## interp


3. interp function: take eff, take ITree E A, output ITree TT A'. (slide: graph repr of what the function does) interp is folding the tree, transforming all nodes into new ret type, and replace Vis with handler call \& bind.

## How to "fold" an ITree?

- Define iter $:=(A \rightarrow M(A+B)) \rightarrow A \rightarrow M B$
- A: continue loop | B: break

Definition interp $\{E M:$ Type $\rightarrow$ Type \} ` $\{$ MonadIter $M\}\{R:$ Type $\}$ (handler : $E \sim M$ ) : itree E R $\rightarrow$ M R := iter (fun t : itree $\mathrm{E} R \Rightarrow$ match $t$ with pure value: no effect left, break | Ret $r \Rightarrow$ ret (inr r) | Tau $\mathrm{t} \Rightarrow$ ret (inl t) L: $t$ still need to be transformed, continue | Vis e $k \Rightarrow$ bind (handler _ e) (fun a $\Rightarrow$ ret (inl (k a))) end). Vis: still need to transform kont, continue

How to represent the "fold" concept? introduce iter, show its signature. return to this later.

## Effect combinators \& properties

```
id_ : E ~ itree E (* trigger *)
cat : (F ~ itree G) -> (* interp *)
    (E ~ itree F) }->(E~\mathrm{ itree G)
case_ : (E ~ itree G) }
inl_ interp_state (x & get ; ; y \leftarrowget ; ; k x y) s \approx interp_state (x & get ; ; k x x) s
in
```



```
                interp h (trigger e) \congh _ e
    preserve structure
        interp h (Ret r) \cong ret r
        interp h (x\leftarrowt; ; k x)\cong
        x}\leftarrow(\mathrm{ interp h t); ; interp h (k x)
```

4. there are many interp combinators, and they still have good properties. (slide: show combinators \& props) You can reason about non-trivial things with them like a poor version of useless load elimination

Next: non-terminating structure

## Iteration

- Define iter $:=(A \rightarrow M(A+B)) \rightarrow A \rightarrow M B$
- A: continue loop | B: break

CoFixpoint iter (body : A $\rightarrow$ itree $E(A+B)$ )
: A $\rightarrow$ itree E B :=
fun $a \Rightarrow a b ~ \leftarrow$ body a ; ;
match ab with
| inl a $\Rightarrow$ Tau (iter body a)
| inr b $\Rightarrow$ Ret b
end.
reminder: coinductive dt, no press, no expand, so terminating

## Iteration

CoFixpoint iter (body : A $\rightarrow$ itree E (A + B))
: A $\rightarrow$ itree E B :=
fun $a \Rightarrow a b \leftarrow$ body $a ;$;
match ab with
| inl a $\Rightarrow$ Tau (iter body a) | inr b $\Rightarrow$ Ret b end.

- Does not rely on shape of the body
- No guardedness check


## Properties of Iteration

```
iter f \(\approx\) f \(\ggg\) case_(iter f) id_
```




``` iter (iter f) \(\hat{\approx}\) iter (f \(\ggg>\) case_ inl_ id_) (codiagonal)
\[
\begin{aligned}
\text { iter } f & \approx \text { fiiterf } \\
\text { iterf; } f & \approx \text { iter tifig } \\
\text { iter }(f ; g) & \approx f \text {;iter }(g ; f) \\
\text { iter }(\text { iter } f) & \approx \text { iter } f
\end{aligned}
\]
```


## Recursion <br> A special kind of *effect*

Inductive ackermannE : Type $\rightarrow$ Type :=
| Ackermann : nat $\rightarrow$ nat $\rightarrow$ ackermannE nat.

Definition h_ackermann : ackermannE $\rightarrow$ itree ackermannE +' emptyE) :=
fun _ ' (Ackermann m n) $\Rightarrow$ if $m=$ ? 0 then $\operatorname{Ret}(\mathrm{n}+\mathrm{T})$
else if $n=$ ? 0 then trigger (inl1 (Ackermann ( $m-1$ ) 1))
else (ack $\leftarrow$ trigger (inl1 (Ackermann $m(n-1)$ )) ; ;
trigger (inl1 (Ackermann (m-1) ack)))
Recursion effects: D-> itree ( $D+$ ' $E$ ) Can make recursive calls
Normal effects: D -> itree 'E
represented by eff
rec effect vs normal eff: rec effect $D->$ itree ( $D+$ ' $E$ ), it returns an ITree with itself present so can make recursive calls, while normal eff looks like $D->$ itree ' $E$, no recursive calls

## mrec

- mrec is to recursive effects what interp is to normal effects

| (* Interpret an itree in the context of mutually recursilve definition (rh) *) Definition mrec \{DE\} (rh: D~itree (D) ${ }^{\prime}$ ' E)) : (D) $\sim$ itree E := <br> fun $R d \Rightarrow$ iter (fun $t$ : itree ( $D+{ }^{\prime} E$ ) $R \Rightarrow$ <br> match $t$ with <br> \| Ret $r \Rightarrow$ Ret (inr r) <br> \| Tau $t \Rightarrow \operatorname{Ret}($ inl $t)$ I Vis (inll d) $k \Rightarrow \operatorname{Ret}\left(\right.$ inl (bind $\left.\left(r h \_d\right) k\right)$ VCunsine eff \| Vis (inr1 e) $k \Rightarrow$ bind (trigger e) (fun $x \Rightarrow \operatorname{Ret}$ (inl ( $k x)$ )) end) ( $r h_{-}$d). |  |
| :---: | :---: |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

Definition ackermann : nat $\rightarrow$ nat $\rightarrow$ itree emptyE nat :=
fun $m \mathrm{n} \Rightarrow$ mrec $\mathrm{h}_{\text {_ackermann ( }}$ (Ackermann $m \mathrm{n}$ ).

## mrec vs interp


fun $R d \Rightarrow$ iter (fun $t$ : itree ( $D+{ }^{\prime}$ E) $R \Rightarrow$
$\stackrel{\text { match } t \text { with }}{\mid \text { Ret } r} \Rightarrow$ Ret
$\mid \operatorname{Tau} t \Rightarrow \operatorname{Ret}($ in 1 t$)$
$\mid$ Vis (inl1 d) $k \Rightarrow \operatorname{Ret}(\operatorname{inl}(b i n d(r h ~ d) k))$
| Vis (inr1 e) $k \Rightarrow$ bind (trigger e) (fun $x \Rightarrow \operatorname{Ret}($ inl $(k x))$ )
end) ( rh - d)
 itree ER MR:= iter (fun t : itree ER $=$
$\begin{array}{ll}\text { Ret } r & \Rightarrow \text { ret (inr r) } \\ \text { Tau } t ~\end{array} \Rightarrow$ ret (inl t)

end).

## mrec is a fixpoint

... by an unfolding equation
mrec rh $\approx$ interp (mrec rh) rh

## What does ITree enable us to do? <br> Compiler correctness



1. pre: define two languages, define compiler function
2. define their semantics by (syntax-directed) denote: denote imp $->$ ITree ImpMemE (), asm $->$ ITree (AsmRegE + AsmMemE) 0
3. given eh of ImpMemE, AsmRegE, AsmMemE, we can define interp_imp, interp_asm by using interp combinators
4. define match relation between imp state * value and asm state * value, now we have weak bisim. compiler correctness thm defined

## What does ITree enable us to do? <br> Compiler correctness


proof by equiv rewrites. might be automated by equality saturation, just like peephole optim. hand-written version 5 k lines, (including def $\&$ semantics def, should be 2 k lines without comments)

## What does ITree enable us to do? <br> Extract to OCaml

CoFixpoint echo : itree IO void :=
Vis Input (fun $x \Rightarrow$ Vis (Output $x$ ) (fun _ $\Rightarrow$ echo))

Let rec (Vis (Input, (fun x $\rightarrow$ lazy (Vis ((Output (Obj.magic x)), (fun _ -> echo))!)))
(* OCaml handler -----(not extracted)
let handle_io e $k=$ match e with
| Output x $\rightarrow$ print_int x ; k (Obj.magic ())
let rec run $t=$
match observe $t$ with
| Ret r $\rightarrow$ r
Tau $t \rightarrow$ run $t$
Vis (e, k) -> handle_io e (fun x $\rightarrow$ run (k x))

## What does ITree enable us to do?

Extract to OCaml

- Reference interpreter for free
- Support side effects not implementable in Coq (network IO, etc)
- Fuzzing

1. implement eh to do side effects not possible in coq (network IO)
2. fuzzer, fuzz your semantics before proof (next slide: avoid retakes)

## What does ITree enable us to do?

Extract to OCaml

- Add new feature to semantics
- Try to prove (took months)
- Oops! Feature unsound!!
- Rework the semantics...
- Retake the proof (took months)
- Oops! Still unsound!!
- Months wasted...e
- Add new feature to semantics
- Extract \& fuzz the interpreter (in one day)
- Oops! Unexpected output!
- Rework the semantics..

VS - Extract \& fuzz the interpreter (in one day)

- Oops! Unexpected output!
- ...
- We believe this semantics should be right!

Try to prove (took months)

- Done!


## What does ITree enable us to do?

## Trace semantics

Trace: a sequence of events emitted by the execution of a program

```
nductive trace ( }\textrm{E}:\mathrm{ Type }->\mathrm{ Type)(R:Type):Type :
1 TEnd: trace ER
TRet:R R trace ER
ITEventEnd: \forall{{X}, EX X trace ER
TEventResponse : \forall{\X}, E X ->X 隹race ER R trace E R.
Inductive is_trace_of {E:Type ->Type}{R:Tyye} :
    itree ER->trace ER->Prop:=
    ITraceRet: \forallr, is_trace_of (Ret r) (TRet r)
    | TraceTau: \forallt tr, is_trace_of t tr t is_trace_of (Tau t t tr
```



```
        is_trace_of (kx)tr tr is_trace_of (Vis ek) (TEventResponse extr),
        Pl
    Definition 1(Trace Refinement). t\sqsubseteq u iff }\forall\textrm{tr}\mathrm{ , is_trace_of t tr }->\mathrm{ is_trace_of u tr.
    Definition 2(Trace Equivalence). }\textrm{t}\equiv\textrm{u}\mathrm{ iff }\textrm{t}\sqsubseteq\textrm{u}\mathrm{ and }\textrm{u}\sqsubseteq\textrm{t}
    Using these definitions, we can show that trace equivalence coincides with weak bisimulation,
i,e, that t1 \approxt2\Longleftrightarrow t1\equivt2
```

11. relation with good old trace semantics
12. compcert verify programs by step: st $->$ ev $->$ st $->$ Prop, execute program got a trace
13. can also extract trace from itree, good property: weak sim <-> trace reequiv
14. able to reason nondeterministic behavior (next slide)

## What does ITree enable us to do?

Trace semantics
Trace: a sequence of events emitted by the execution of a program Definition 1 (Trace Refinement). $\mathrm{t} \sqsubseteq \mathrm{u}$ iff $\forall \mathrm{tr}$, is_trace_of $\mathrm{t} \mathrm{tr} \rightarrow$ is_trace_of u tr. Definition 2 (Trace Equivalence). $\mathrm{t} \equiv \mathrm{u}$ iff $\mathrm{t} \sqsubseteq \mathrm{u}$ and $\mathrm{u} \sqsubseteq \mathrm{t}$.

Using these definitions, we can show that trace equivalence coincides with weak bisimulation, i.e., that $\mathrm{t} 1 \approx \mathrm{t} 2 \Longleftrightarrow \mathrm{t} 1 \equiv \mathrm{t} 2$.

- Reason about non-deterministic side effects


## Conclusion

- ITree: a foundation for program semantics and an equational theory
- A shallow representation of non-terminating effectful languages, leveraging the nature of coinductive types
- Leverage existing power of meta language (proof assistants), simplifying proof engineering
- Proof by eq rewrite, room for automatic reasoning
- Extract to executable programs, enable "swift" development of forma semantics


## Future work

- Non-determinism \& concurrency (multiple followups)
- Relate its theorem with domain theories, operational semantics, and game semantics
- Does not work when the state match relations is not one-to-one (impossible to formalize most practical languages, e.g. Clight)


## Interaction Trees

A denotational semantics and its equational theorems

